

The Coordination Chemistry of DNA Nucleosides on Gold Nanoparticles as a Probe by SERS

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The DNA nucleosides (dA, dC, dG, dT) bound to gold nanoparticles (~13 nm) in aqueous solution has been studied as a probe by the SERS and their coordination structures have been proposed on the basis of them. According to UV-Visible absorption of gold nanoparticles after modifying with DNA nucleosides, the rates of absorption of dA, dC, and dG were much faster than that of dT as monitored by the aggregation kinetics at 700 nm. These data indicated that the nucleosides dA, dC, and dG had a higher affinity for the gold nanoparticles surface than nucleoside dT. As the result of SERS spectra, the binding modes of each of the nucleosides on gold nanoparticles have been assigned. A dA binds to gold nanoparticles *via* a N(7) nitrogen atom of the imidazole ring, which the C(6)-NH₂ group also participates in the coordination process. In the case of dC, it binds to the gold surface *via* a N(3) nitrogen atom of the pyrimidine ring with a partial contribution from the oxygen of C(2)=O group. A coordination of dG to the gold surfaces is also proposed. Although the dG has the two different nitrogens of a pyrimidine ring and the amino group, the N(1) nitrogen atom of a pyrimidine ring has a higher affinity after the hydrogen migrates to the amino group. Conversely, dT binds *via* the oxygen of the C(4)=O group of the pyrimidine ring. Accordingly, these data suggest that the nitrogen atom of the imidazole or the pyrimidine ring in the DNA nucleosides will bind more fast to the gold nanoparticles surfaces than the oxygen atom of the carbonyl group.

Key Words : DNA nucleosides, SERS, Coordination, Gold nanoparticles

Introduction

Significant efforts have focused on binding oligonucleosides to metal surfaces and colloids for the variety of important fundamental studies and applications, including the study of electron transfer through DNA¹ and the development of novel DNA detection technologies.² Recently, Mirkin and coworkers reported a new DNA detection technology based on the sequence-specific interactions of DNA-modified gold nanoparticles probes with a target DNA analyte.³⁻⁵ Because of these recent advances in using DNA to build a variety of functional materials,⁶ an understanding of how DNA and its building blocks interact with surfaces on the molecular level would be crucial. However, only a few studies have generated pertinent structural information regarding the binding and conformation of oligonucleosides and their building blocks on gold surfaces.^{7,8} In order to optimize DNA detection and other technologies based on DNA binding to metal surfaces, it is of utmost importance to understand how DNA and its building blocks interact with gold nanoparticles and other surfaces. Ultimately, it is envisioned that studies of DNA/surface interactions would be helpful for the design of the DNA-modified gold nanoparticles probes that can target DNA sequences with optimal sequence specificity and selectivity, as well as the nanoparticles stability and reactivity.

Over the past 20-years, surface-enhanced Raman scattering (SERS)⁹ has had a great impact on characterizing DNA

and other biological molecules on metal surfaces since the inherently weak Raman signal are enhanced and the high fluorescence is quenched. A number of SERS spectra of DNA and RNA bases have been extensively studied on both electrode and colloid surfaces.¹⁰⁻¹⁸ However, the exact coordination structures of the DNA nucleoside bases on metal surfaces have not been reported previously. In order to elucidate the coordination structures of the DNA nucleoside bases on gold nanoparticles, it is necessary to assign precise frequencies to each SERS band.

The SERS study of the DNA nucleosides on gold surface is of particular interest, since they possess many different functionalities that could potentially bind to the gold surface, such as the system of the pyrimidine ring, the C=O functionality and the lone-pair electrons of the heterocyclic and exocyclic nitrogen atoms (see in Figure 1). Since some of these functionalities participates in Watson-Crick base-pairing, it is essential that the binding modes of each base is understood so that the DNA-modified gold nanoparticles can be optimized for binding complementary DNA sequences.

In the present paper, I report the UV-Vis and SERS spectra of 2'-Deoxyadenosine (dA), 2'-Deoxycytidine (dC), 2'-Deoxyguanosine (dG) and 2'-Deoxythymidine (dT) on gold nanoparticles. The binding affinity of each base for the gold nanoparticle surfaces is discussed, and an interpretation in terms of normal mode assignments of the Raman spectra is presented. The SERS data are also compared with the normal Raman spectra in solid and in aqueous solution. Based on this study, the coordination structures of the DNA nucleosides on gold surfaces are proposed.

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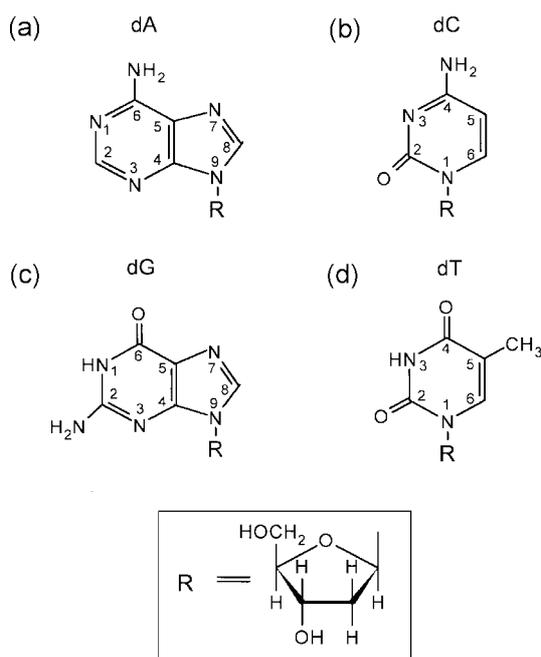


Figure 1. The structure of DNA nucleosides and the numbering of the purine, pyrimidine ring used in expressing the normal modes of vibrations: (a) 2'-Deoxyadenosine (dA), (b) 2'-Deoxycytidine (dC), (c) 2'-Deoxyguanosine (dG), and (d) 2'-Deoxythymidine (dT).

Experimental Section

Preparation of Gold Nanoparticles. Approximately 13 nm diameter gold particles were prepared by the citrate reduction of HAuCl_4 as described previously.^{19,20} An 0.1 mL of 4% (w/v) HAuCl_4 solution was added to a reflux of 40 mL of nanopure water while stirring, and then 1 mL of 1% (w/v) trisodium citrate solution was quickly added drop by drop while stirring, which resulted in a change in solution color from pale yellow to deep red. After the color change, the resulting mixture was boiled for additional five minutes, allowed to cool to room temperature and the final color of solution obtained was raspberry-red. Gold nanoparticles in D_2O were made in exactly the same fashion, substituting D_2O (99.9%) for water. A typical solution of 13 nm diameter gold particles exhibited a characteristic surface plasmon absorption maximum at 520 nm. Electronic absorption spectra were recorded on a Hewlett-Packard 8452A diode array spectrophotometer.

Preparation of Materials. $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$ and Trisodium Citrate were purchased from Aldrich Chemical Company. 2'-Deoxyadenosine (dA) and 2'-Deoxycytidine (dC) were purchased from Sigma, 2'-Deoxyguanosine (dG) from Merck and 2'-Deoxythymidine (dT) from American BioNuclear, respectively. To assure the similar structure of dG, 2'-Deoxyinosine (dI) were also purchased from Sigma. All reagents were used without further purification. N-Deuterated species of dA, dC, dG, and dT were prepared by dissolving the molecules in D_2O (99.9%, Aldrich) to undergo isotopic exchange and evaporating to dry. This procedure was repeated three times and the deuterated nucleosides were taken by

NMR spectroscopy (Gemini 300, Varian) to if deuterium was changed or not. For all experiments, Nanopure H_2O (18.1 M Ω) purified using a NANOpure ultra water system (Barnstead) was used. All glasswares were cleaned in aqua regia (3 parts HCl, 1 parts HNO_3), rinsed with nanopure H_2O , and then dried in oven prior to use.

Stock solutions of 1×10^{-4} M concentration for DNA nucleosides were prepared by using the neutral water. The adsorbate was introduced in the nanoparticles by adding one drop (about 0.03 mL) of the above stock solutions to approximately 1 mL of gold colloid solution. A few drop of 3% poly(vinylpyrrolidone) (PVP, MW 10000) was added to the sample as stabilizer, preventing further aggregation and eventual flocculation of the colloid. The overall concentration of each DNA nucleoside was about 3×10^{-6} M in nucleoside/Au sample. After modifying with the DNA nucleosides, the aggregation of particles is monitored by UV-Visible spectroscopy (Hewlett-Packard 8452A diode array).

Raman Spectroscopy. Ar^+ laser (Innova 400, Coherent) was used for solution spectra with 200 mW laser power at $\lambda_{\text{ex}} = 488$ nm. Raman spectra of a solid sample were achieved by using FT-Raman spectrometer (BioRad) equipped with 1064 nm Nd:YAG laser (Spectra Physics) and the laser power used was 200 mW at sample position. For the SERS spectra,²⁰ the multiline Ar^+ laser (Innova 400, Coherent) was used to pump a Spectra Physics Tsunami model locked Ti:Sapphire prism to obtain $\lambda_{\text{ex}} = 710$ nm and the laser power used was also about 200 mW at sample position. A band pass filter (Oriol Corporation, Stratford, CT) centered at 710 nm with a 10 nm range was utilized for removing extra lines. Spectra were recorded at $\lambda_{\text{ex}} = 488$ nm and $\lambda_{\text{ex}} = 710$ nm using a SPEX Model 1877 Triplemate multichannel monochromator equipped with 600 groove/nm grating blazed at 710 nm in the filter stage, 1200 groove/nm gratings in the spectrograph stage, and a SPEX Spectrum One charge-coupled device (CCD) detector. The Raman cell used was a 5 mm NMR tube (Ace Glass) and was spun to maintain sample homogeneity and to prevent localized heating. The angle of an incidence of the laser excitation source was $\sim 45^\circ$ with respect to the normal surface, and Raman scattered light was collected parallel to the surface normally. All Raman frequencies were calibrated by comparing with the measured CCl_4 frequency (217, 314, 459 cm^{-1}).

Results and Discussion

My initial goal was focused on determining the binding affinity of each deoxynucleoside for the gold nanoparticle surfaces. The surface plasmon resonance of the gold nanoparticles, which is sensitive to particle aggregation, offered a simple spectroscopic tag for monitoring adsorption of the neutrally charged deoxynucleoside onto the nanoparticle surface.⁵ Accordingly, UV-Vis spectroscopy was used to monitor the binding affinity of each DNA nucleoside to 13 nm diameter gold nanoparticles in Figure 2. The UV-Vis spectrum (spectrum a) of 13 nm diameter gold nanoparticles

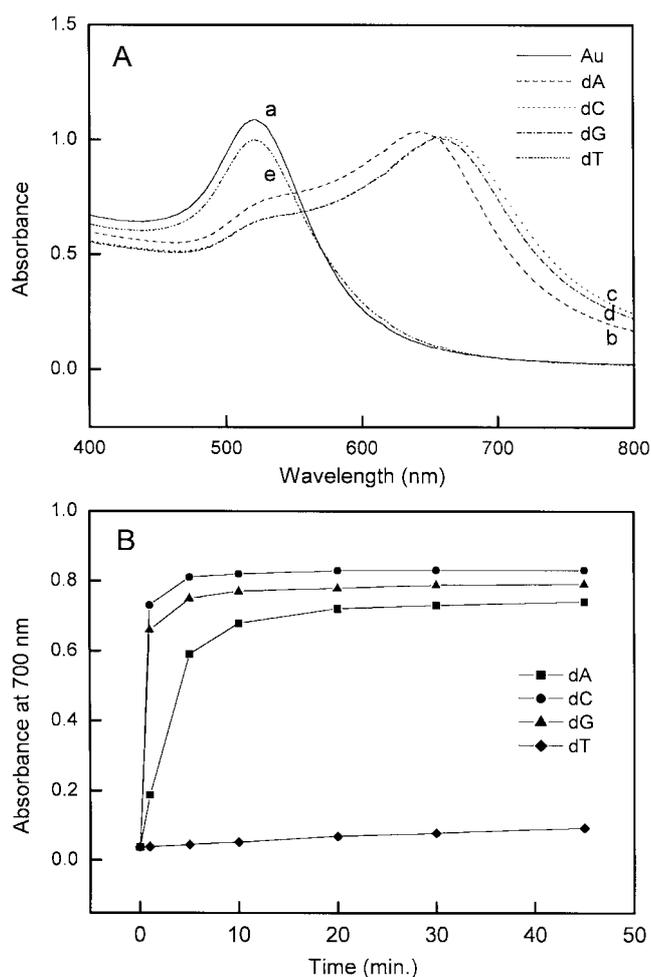


Figure 2. UV-Visible absorption spectra of a gold nanoparticles: (A) (a) in the absence of adsorbate and 5 minutes after modifying with DNA nucleosides (b) dA (c) dC (d) dG, and (e) dT; (B) depending on time after modification at 700 nm for (f) dA, (g) dC, (h) dG, and (i) dT.

(≈ 20 nM) is shown for reference, which has a maximum at about 520 nm in Figure 2A. Spectra b to e in Figure 2A show the changes in the plasmon band when each DNA nucleoside (3 μ M) was added to a 20 nM solution of 13 nm diameter gold nanoparticles. At this concentration, all of the deoxynucleosides except dT exhibited major changes in the plasmon region after a minute. For a better comparison, the adsorption kinetics of the nucleosides was monitored by growth of the plasmon band at 700 nm.⁵ As expected, the deoxynucleosides dA, dC, and dG exhibited much faster growth kinetics than that of dT under the same conditions (Figure 2B). This indicates that the nucleosides dA, dC, and dG have a higher binding affinity for the gold nanoparticles surface than that of dT. This result was not surprising when considered that dT is the only base that does not contain an exocyclic amine moiety or deprotonated heterocyclic nitrogen. However, at this stage it is unclear which nucleoside functionalities play the most important role in dictating nucleoside binding to the gold nanoparticle surfaces. To probe the coordination chemistry between the nucleosides

and the gold nanoparticles, SERS spectra were performed on each of the gold nanoparticles/deoxynucleoside aggregates. It is important to note that the complex time behavior observed for the adsorption of deoxynucleoside onto gold nanoparticles leads to SERS spectra being recorded at various times for the different deoxynucleoside, since sufficient aggregation is required to produce SERS active molecules on the surface (see Experimental).

Assignments and Coordination Structures. Figure 3-6 show SERS spectra of solution's dA, dC, dG and dT on gold nanoparticles in aqueous solution at pH 7 as compared to the FT-Raman of solid, the normal Raman in solution and the deuterated SERS spectra. All of the Raman bands have been attributed to vibrational modes of the high polarizable aromatic base functionalities. It is noted that SERS bands associated with sugar vibrations are hardly observed due to the presence of the weakly polarizable single bonds associated with the sugar moiety, which supports the conclusion that this portion of the molecule does not directly bind to the gold surface.

Assignments of SERS band frequencies for the DNA nucleosides were determined by comparing the frequencies of the observed Raman data with the spectra obtained previously for the bases and nucleosides using other methods^{9-18,21} and by using the vibrational data of references.²² These tentative assignments for the SERS bands are listed in Table 1 for comparison the measured normal and FT-Raman frequencies in solution and in the solid.

Nucleosides contain two characteristic ligating regions which are capable of metal binding: (1) the heterocyclic ring N atoms and the exocyclic functional groups of the purine and pyrimidine bases, and (2) the hydroxy O atoms of the deoxyribose sugar moiety. For nucleoside-transition metal, X-ray structure studies²³ have shown these two modes of binding to predominate. All the binary mononucleoside of an adenosine (dAMP), and guanine (dGMP) were covalently bonded to N(7) of the purine base. When DNA nucleosides bind to the gold nanoparticles, their binding sites could potentially be much different from that of transitional metal complex.

Until now, in studying the SERS spectra of mononucleosides at Ag electrode,^{14b} it has been reported that the spectra of cytosine (dCMP), guanine (dGMP) and thymine (dTMP) or uracil (dUMP) could not be obtained except the mononucleoside of adenine (dAMP). It means that others except dAMP do not adsorb to the Ag surface, revealing the absence of an SERS signal in their measurements on mononucleoside. It can be concluded that only in the case of dAMP the adsorption is not hampered by the sugar-phosphate group. In other words, the presence of the sugar-phosphate group at the N(1)-position of cytosine, and thymine and at the N(9)-position of guanine prevents the direct interaction of the base portion of these nucleosides to the silver surface.

1. dA. SERS spectra of dA on gold nanoparticles is plotted at Figure 3 and summarized in Table 1. Figure 3C shows the strong, characteristic SERS bands for dA at 728, 1320, and

Table 1. Assignments of Raman spectra for DNA nucleosides

dA			dC			dG			dT			Tentative Assignment ^d	
Solid ^a	Liquid ^b	SERS ^c	Solid ^a	Liquid ^b	SERS ^c	Solid ^a	Liquid ^b	SERS ^c	Solid ^a	Liquid ^b	SERS ^c		
1687		1657										$\beta_s(\text{NH}_2)$	NH ₂ Scissoring
			1664	1662	1639	1687		1642	1664	1665	1647	$\nu_s(\text{C}=\text{O})$	C=O Stretching
1614		1594	1618	1605								$\delta(\text{NH}_2)$	NH ₂ Deformation
1572	1581	1551	1568		1572	1579	1580	1577	1579		1574		Ring Stretching (Py) ^e
			1533	1532	1498							$\delta(\text{NH}_2)$	NH ₂ Deformation
						1487	1489	1486				$\nu_s(\text{C}=\text{N})$	C=N Stretching (Im) ^e
1478	1485	1472	1448		1458				1483	1486	1450	$\nu_s(\text{C}=\text{N})$	C=N Stretching (Py)
			1417		1419							$\nu_s(\text{C}_4\text{C}_5)$	C-C Stretching
									1390		1396	$\delta(\text{CH}_3)$	CH ₃ Deformation
1380	1379	1389							$\nu_s(\text{C}_6\text{N}_1)$			$\nu_s(\text{C}-\text{N})$	C-N Stretching (Py)
						1348	1362	1353	$\nu_s(\text{C}-\text{N})$			$\nu_s(\text{C}-\text{N})$	C-N Stretching (py)
1348	1340	1320				1321	1320	1318	$\nu_s(\text{C}-\text{N})$			$\nu_s(\text{C}_2-\text{N}_3)$	C-N Stretching
			1290	1293	1293				$\nu_s(\text{C}_8-\text{N}_9)$			$\nu_s(\text{C}-\text{C})$	Ring-CH ₃ Stretching
			1240	1256	1226				1224	1241	1233		
									1197	1205	1203		
1174		1171				1170	1178	1179				$\nu_s(\text{C}_5-\text{C}_6)$	C-C Stretching
						1078		1085					
1066		1035	1024		1033	1020		1032	1016	1023	1019	$\nu_s(\text{N}-\text{C})$	N-Sugar Stretching
908		919	950		949	950		954				$\rho(\text{NH}_2)$	NH ₂ Rocking
			850		847	850	851	850				$\nu_s(\text{N}-\text{C}-\text{N})$	N-C-N Stretching
734	733	728	784	785	802				792	789	796		Ring breathing (Py)
						676	678	662					Ring breathing (Im)
											243		Au-O stretching
					231			225					Au-N stretching

^aFT-Raman of powder, excited with Nd:YAG 1064 nm 200 mW. ^bSolution in water, excited with Ar⁺ 488 nm 200 mW. ^cOn Au nanoparticle, excited with Ti:Sapphire 710 nm 200 mW. ^dAssigned from references [21, 22]. ^eDefined pyrimidine (py) and imidazole (im).

1472 cm⁻¹. It is concluded that the band at 728 cm⁻¹ corresponds to the band at 734 cm⁻¹ in the Raman spectrum of the solid and at 733 cm⁻¹ in solution, which is attributed to the ring-breathing mode of adenine.^{10,22} This frequency agrees well with the spectrum of adenine reported by Koglin and co-workers at silver electrodes^{10,13a} and in silver colloid.^{13b} They assigned the strong band at 736 cm⁻¹ as the primary skeletal vibrations of the adenine ring on a silver electrode. The relatively strong intensity of the 728 cm⁻¹ band can be interpreted as a perpendicular standing (edge on) or tilted orientation of the adenine ring relative to the gold surface. This strong enhancement is due to a charge transfer between the aromatic adenine ring and the gold surface.¹⁵

It is worth noting that the ring-breathing frequency of dA is much different from dC, dG, and dT.¹⁵ According to Raman analysis, the band at 734 cm⁻¹ in the solid spectrum is not due to just the ring-breathing vibration, but another vibration that was assigned by an IR study of adenine-metal complexes to a coupled NH₂ deformation and ring vibration.²⁴ This frequency was found to change from 714 to 740 cm⁻¹ depending upon the complex. In this work, the SERS spectrum of N-deuterated dA was recorded to determine if the NH₂ vibration is contributed to this assigned vibration. The N-deuterated dA SERS spectrum (Figure 3D) exhibited a strong bands at 724 cm⁻¹, which was slightly red-shifted

from the nondeuterated spectrum (Figure 3C). This red-shift was smaller than that expected for a purely N-D vibration. Based on this evidence, it is that the strong band at 728 cm⁻¹ is due to a ring breathing vibration with a partial contribution from the amino group vibration.

With the exception of guanine derivatives, all purine derivatives give rise to normal Raman band of the ring-breathing vibration near 725 cm⁻¹. In Figure 3, this corresponds to the bands at 734 cm⁻¹ in the solid and at 733 cm⁻¹ in solution, respectively. The frequency shift between normal and SERS spectra points out that the molecular and electronic structures of an adsorbed dA are slightly perturbed on the gold surface.

In Figure 1(a), the dA has four potential nitrogen binding sites, *i.e.*, the pyrimidine N(1) and N(3), the imidazole N(7) ring nitrogen, and the N(6) nitrogen of the exocyclic NH₂ group. Since N(9), which is the preferred binding site of adenine, is blocked by the deoxyribose substituent in dA, N(7) becomes the primary binding site of the latter ligand when it is acting as terminal unidentate, with N(1) and N(7) being the most common binding sites of bridging bidentate dA.

Various proposals for the orientation of the adenine molecule with respect to the metal surface can be put forward. It can be postulated that the adenine ring has an orientation

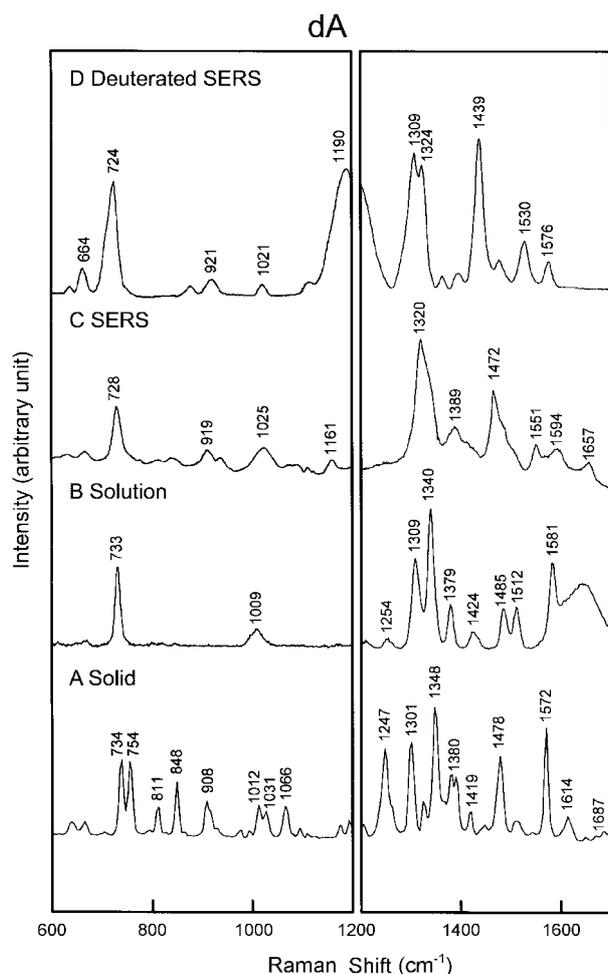


Figure 3. The Raman spectra of dA: (a) FT-Raman in solid, (b) Normal Raman in aqueous solution (saturated), (c) SERS on aqueous gold nanoparticles (1.5×10^{-5} M), (d) SERS on gold nanoparticles in D_2O for N-Deuterated species (1.5×10^{-5} M). The laser power and excitation wavelength is (a) 200 mW with 1064 nm Nd:YAG, (b) 200 mW with 488 nm Ar⁺, (c) and (d) 100 mw with 710 nm Ti:Al₂O₃ prism pumped Ar⁺ laser.

parallel to the metal surface.^{10a,25} It can also be concluded from the presence of a strong band at 1334 cm^{-1} in the SERS spectrum of adenine that adsorption takes place through the nitrogen atom on the 7-position of the ring.^{11a} This conclusion is based on the assumption that the most intense lines in the SERS spectrum are due to the atom in close proximity to the metal surface.

There exists a gold surface-adenine configuration which is relatively tight and corresponds to a very particular surface-adenine complex. Any of the following possibilities would be a plausible candidate for the orientation of the adenine molecule in the gold surface-adenine complex: (1) a parallel arrangement, (2) a perpendicular arrangement through the N(7) atom of the imidazole ring, or the N(1), N(3) atoms of the pyrimidine ring, or (3) an arrangement through the external amino group.

Another dA SERS bands at 1472 and 1657 cm^{-1} were also observed (Figure 3C). Since the six-membered ring of adenine is aromatic, these bands may be related to high

frequency ring modes of this aromatic heterocycle, which is generally coupled to the substituents.^{21,22} The band at 1657 cm^{-1} assigned to an NH₂ scissoring vibration, and the strong band at 1472 cm^{-1} to the C=N stretching vibration of the pyrimidine ring in the SERS spectrum. Supporting evidence for these assignments was obtained by comparing the SERS spectrum of the N-deuterated dA molecule in D_2O with the analogous undeuterated spectrum. The SERS band at 1657 cm^{-1} assigned to the NH₂ scissoring vibration was red-shifted to 1190 cm^{-1} in the deuterated spectrum, and the band at 1472 cm^{-1} assigned to the C=N stretching vibration shifted to 1439 cm^{-1} in deuterated form (Figure 3C-D).

Usually in deuterated ND₂, it is red-shifted about $1/\sqrt{2}$ times from $\sqrt{NH_2}/\sqrt{ND_2}$ and this agrees well with the present data. The vibrations originating in functional groups of the molecule near the metal surface are most strongly enhanced. Because several vibrations, in which the motion of the C(6)-NH₂ group participates, give rise to strong contributions in the SERS spectrum, it is concluded that the external amino group of adenine was connected to the metal surface. This agrees with the report that the C(6)-NH₂ group of the pyrimidine ring in dA can participate in the coordination to the Ag electrode surfaces.^{14b} It is easy to place the external amino group in all DNA bases in such a position that it can bind to the surface. It is quite difficult for the N(7) group to make a contact with the surface without additional contacts of the external amino group on the C(6)-position or of the backbone with the surface. It is, therefore unlikely that the N(7) atom of adenine is directly connected to the gold surface. In the SERS spectra, the band at 1657 cm^{-1} is assigned to the NH₂ scissoring vibration and is shifted by 42 cm^{-1} to the low frequency in N-deuterated dA in D_2O . This indicates that the external amino group of dA participates in the surface binding process.

From the above discussion and metal complex studies,²⁶ dA strongly interact with the gold surface through the N(7) nitrogen atom of an imidazole ring and the occurrence of strong contributions of the vibrations in which the external amino group participates in the SERS spectrum of adenine, indicating that the external amino group participated in the surface binding process. The proposed coordination structure to the gold nanoparticles is shown in 7(a).

2. dC. Several atoms and bonds in dC such as N(3), C=O and C=N can bind to the gold surface. It is known that the N(3) and the C=O group in cytosine have deep potential minima for the interaction with an external point charge.²⁷ With cytidine, it has been reported that hard metal ions bind to the C=O group whereas soft metals such as silver bind to N(3), in general. From X-ray crystallographic studies,²⁸ 1-methylcytosine, was found to bind to Pt *via* N(3) and to Ag through N(3) and C=O. Since the gold nanoparticles are expected to be softer than silver ion, it is expected that the interaction of N(3) with the surface would be more important than that of C=O.

In the SERS spectrum of dC, the highest frequency band at 1638 cm^{-1} is assigned to the C=O stretching vibration of the carbonyl group. This band does not shift in D_2O (Figure

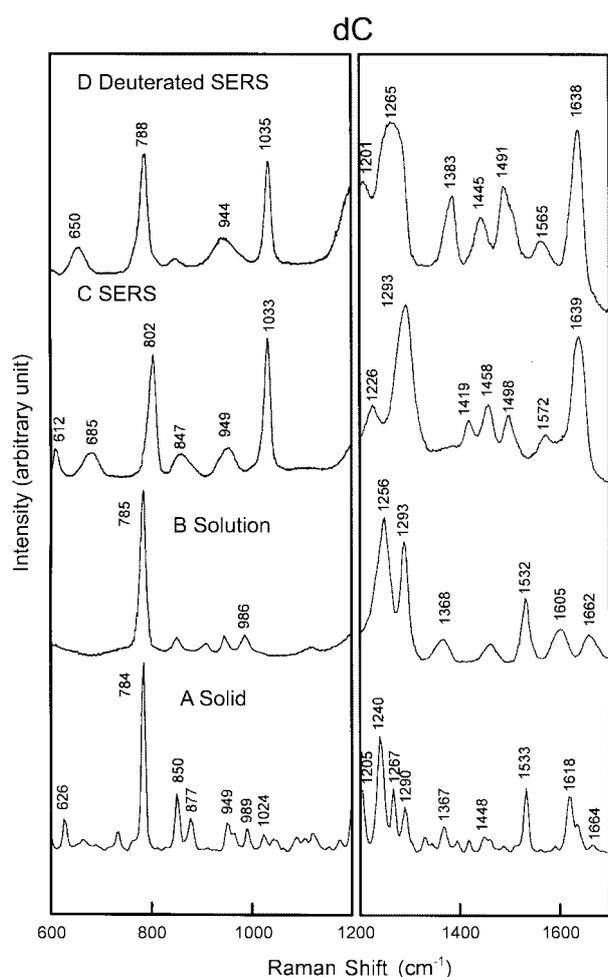


Figure 4. The Raman spectra as in Figure 3 of dC. The spectra of (a) and (b) have been subtracted by baseline.

4D), which excludes the possibility of the band arising from a coordinated amino group.²⁹ In the FT-Raman spectrum of solid dC, the C=O stretching vibration appears at 1664 cm^{-1} while the vibration in the aqueous spectrum is observed at 1662 cm^{-1} . The coordinated species exhibits a large shift (22 cm^{-1}) to lower frequencies when compared to the solution spectrum. This indicates that the electron donation of the carbonyl group to the surface would decrease the bond order, resulting in a decrease in the vibrational frequency.

In the FT and normal spectra, the weak C=O stretching vibration appears at 1664 cm^{-1} and 1662 cm^{-1} , respectively. This band shows a sharp and intense peak in the SERS spectrum on gold nanoparticles and is shifted to the 1639 cm^{-1} . The shift of this band to the lower frequency is ascribed to a decrease in the double bond character of the C=O as a consequence of the electron delocalization induced by coordination of the C=O group on the gold metal surface. Thus this shift can be explained, on the basis of the chemical SERS theory, by a charge transfer taking place from the pyrimidine ring of dC to gold surface.

Further evidence for binding of the nitrogen atom is provided by a strong SERS band at 1293 cm^{-1} , which constitutes one of the most intense in the SERS spectrum.

This band exhibits some shifts both in aqueous solution and in the solid. This intense band is assigned to the C(2)-N(3) stretching and suggests a strong interaction between this nitrogen atom and the gold surface. This band appreciably downshifts by 25 cm^{-1} to 1265 cm^{-1} when the amino group at C(4) of dC is changed to the N-deuterated ND_2 in D_2O . The strong SERS band at 1033 cm^{-1} is assigned to the C-N(1) stretching vibration of a sugar-pyrimidine ring. Comparing with others, this band in dC is very strong and probably due to coordination to the gold of the carbonyl group at C(2). This possibility is deduced that the corresponding bands are very strong on the gold surface, whereas they are very weak in the solid as well as in solution. Both the intensity and position are unaffected by changing the amino group at C(4) to the ND_2 in D_2O , which provides supporting evidence for this assignment.

The enhancement of C=O stretching band reveals the proximity of the carbonyl group to the gold surface. Moreover, the existence of the band assigned to the NH_2 group deformation at 1498 cm^{-1} , appearing at 1532 cm^{-1} in aqueous solution, shows that the amino group is very close to the gold surface. The intense band at 1293 cm^{-1} assigned to the C(2)-N(3) stretching vibration in the SERS spectrum might indicate either the closeness of the ring nitrogen atom to the metal surface, in accordance with the suggested proximity of the C=O group, or a non-parallel orientation of the adsorbate on the metal surface. The ring breathing band at 802 cm^{-1} shows a typical frequency when atoms of a six-membered ring bind to the metal surface.

The FT-Raman of the solid, normal Raman in solution, and SERS spectra of dC on gold nanoparticles and of deuterated dC, respectively, are compared in Figure 4. It is found that considerable frequency shifts occur throughout the spectrum when dC is adsorbed to gold nanoparticles. The strong band at 802 cm^{-1} in the SERS spectra is attributed to the ring breathing vibration of the pyrimidine skeleton.^{21,22} The corresponding band of ring breathing vibration of dC is observed at 784 cm^{-1} , 785 cm^{-1} in the solid and in solution, respectively. The red-shift of this band with respect to its analogue in the FT and normal Raman spectra is a characteristic of numerous aromatic systems adsorbed to metal surfaces.¹¹ This red-shift can be attributed to the redistribution of an electronic charge density taking place in the ring as a consequence of adsorption. This can be explained by the facts that some parts of a cytosine ring can directly interact with the gold surface on adsorption. The appearance of a band at 231 cm^{-1} assignable to Au-cytosine vibration suggests that there is a metal-adsorbate interaction, possibly Au-N stretching vibration.

Another notable SERS band of dC recorded on gold nanoparticles shows a unique Au-N vibration band at 231 cm^{-1} , suggesting a closer position of the nitrogen atom involved in the interaction when the gold is used as a substrate. The presence of only one band suggests the existence of an unique coordination site. From the above results and references,^{30,31} it is proposed that the dC binds to the gold surface *via* the N(3) nitrogen atom of the pyrimidine

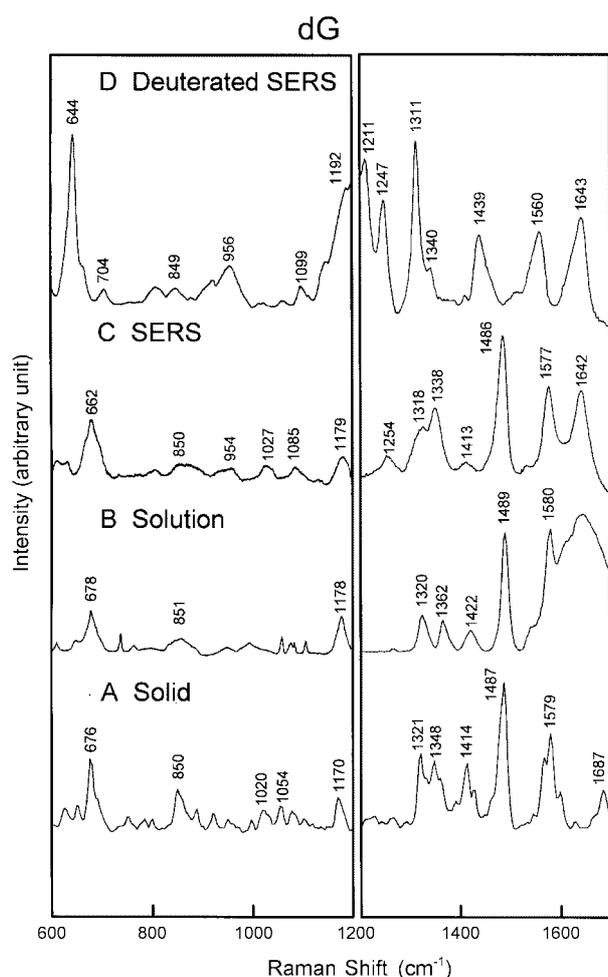


Figure 5. The Raman spectra as in Figure 3 of dG. The spectra of (a) and (b) have been subtracted by baseline.

ring with some contribution from the carbonyl group at C(2). The suggested coordination structure of dC on gold nanoparticles is shown in Figure 7(b).

3. dG. The uncharged guanine derivatives are very insoluble in neutral water and it has not been mostly studied without the pH adjustment.^{12,17,18} However, in this work, I can take a good SERS spectrum of dG at the neutral solution in spite of the very low solubility as plotted in Figure 5 and summarized in Table 1.

In Figure 5C, I can see the strong SERS band of the carbonyl band stretching vibration at 1642 cm^{-1} and this strong stretching vibration of the C=O group gives rise to a sharp band at 1642 cm^{-1} which is absent in the SERS spectra on silver.¹⁷ This intense band is appreciably shifted to lower wavenumbers with respect to the same band at 1687 cm^{-1} in the solid spectrum recorded by FT-Raman, which indicates that the C=O group strongly interacts with the gold nanoparticles surface. This agrees well with the corresponding SERS spectra obtained by Camafeita *et al.*¹⁸ More specially, the shift to lower wavenumbers indicates that the molecule adsorbs on the gold surface mainly in its enolic form as a consequence of an increase in the ring electronic delocalization.

I can also see the strong SERS band of the carbonyl band stretching vibration at 1642 cm^{-1} in Figure 5C. This band is shifted by 45 cm^{-1} to higher wavenumber in comparison to the solid FT-Raman spectrum, suggesting that the oxygen atom at C(6) is bound to the gold nanoparticles surface in the enolic form rather than the keto form. This large shift results from restructuring the ring charge, clearly indicating a strongly direct interaction between dG and the gold surface through the C=O group. Accordingly, the carbonyl group plays an important role when the dG interacts with the gold surface through the pyrimidine ring.

With a strongly direct interaction between the molecule and surface through the C=O group, N(1) atom of the ring would also give rise to a shift to higher wavenumbers of these vibrations related to the ring. I can see these intense SERS bands at 1338 , 1486 , and 1577 cm^{-1} in Figure 5C, which assigned to, in turn, the C-N stretching, C=N stretching, and ring stretching, respectively, at the pyrimidine ring. Especially, the relatively strong ring band at 1486 cm^{-1} suggests that the pyrimidine ring of dG adopts preferably a perpendicular position on the gold surface through the nitrogen atom.

The bands at 1318 and 1254 cm^{-1} assigned to the pyrimidine ring vibration frequencies²³ exhibit changes in intensity and frequency upon binding to the gold nanoparticles surface. The change of this band in the spectra of all metal nucleoside complexes are most likely due to N(1)-metal interaction. Regarding bridging bidentate guanine, N(1), N(3) and N(7) would be equally likely to act as binding sites on metal. However, dG has the deoxyribose substituent at N(9), which could conceivably introduce sufficient steric hindrance as to prevent coordination of this ligand through N(3). From the structure of dG, it may be postulated that N(1), NH₂ at C(2), N(7), C=O at C(6), and some of their combination may be involved as a binding site when binding to the gold surface. In Figure 5C, I cannot find any bands that the amino group interacts with the gold surface and that was much red-shifted for N-deuterated dG in Figure 5D. This can confirm that the amino group at C(2) is not the site of binding on gold surfaces.

From other similar SERS study,³¹ 2-Deoxyinosine (dI), which is structurally similar to dG, but without an amino group, behaves dislikes dG in interaction with an gold metal surface. The SERS spectrum of dI on gold surface is very similar to that of dA. It means that the amino group at C(2) position play an important role to the bind structure of dG on gold surface. In dG, the hydrogen at N(1) migrate to the amino group after deprotonation in the neutral solution. Accordingly, N(1) can be a character of anion and can play a role to the binding site. In dI, however, the hydrogen at N(1) cannot migrate because it is without the amino group. Because the hydrogen at N(1) exist, the binding site of dI have to prefer to N(7) nitrogen to gold surface. Therefore, both bind to the gold surface with the same site N(7) and I can observe the very similar spectra between dA and dI. It has been reported that guanine functions as a chelating agent, binds through N(1) to metal ion.³² The possibility of

coordination of guanine derivatives through C=O group, in metal complex with bridging or chelating guanines, has been proposed in some cases.³³ The coordinative position of N(1) and C=O group has also been proposed as active sites of bonding in aqueous solutions with metals depending on pH.³⁴

All purines derivatives except guanine give rise to a strong Raman band about ring-breathing mode near 725 cm^{-1} . The intense SERS band of dG appears at 662 cm^{-1} in Figure 5C and corresponds to the ring breathing mode. This band appreciably shifted by 18 cm^{-1} to higher wavenumbers with the same band in the normal Raman spectrum recorded in saturated aqueous solution, which appears at 678 cm^{-1} . This band also appears at 676 cm^{-1} in the solid when recorded by FT-Raman spectrum. For N-deuterated dG, this frequency is slightly shifted to the lower range. It means that the ring of dG has a strongly direct interaction between the molecule and the surface through the nitrogen of the pyrimidine ring.

The presence of a broad, intense band at 225 cm^{-1} indicates the existence of a metal-adsorbate interaction and assigned to the Au-N(1) stretching vibration. This means that the dG molecule interacts through the nitrogen atom of the pyrimidine ring. The SERS band at 225 cm^{-1} is postulated to be due to the metal-nitrogen stretching vibration and reveals the existence of Au-N interaction. Accordingly, this suggests the dG molecule interacts with the gold surface through the N(1) atom of the pyrimidine ring. In this molecule, the N(1) atom seems to play an important role as the side of the molecule with a tendency to interact with the gold in the colloid.

In view of the above result, an interaction of dG with the gold which implicates both the carbonyl group and the N(1) atom of the pyrimidine ring is proposed. The coordination structure to the gold surface is suggested in Figure 7(c).

4. dT. The intense SERS band of dT appears at 1647 cm^{-1} which is attributed to the carbonyl stretching vibration. The corresponding bands of FT- and normal Raman are showing at 1664 and 1665 cm^{-1} in the solid and solution state, respectively. As shown in Figure 1, dT has two different carbonyl groups, C(2)=O, and C(4)=O. According to the study of thymine,¹⁴ the two normal Raman bands at 1691 and 1657 cm^{-1} were attributed to the C(2)=O and C(4)=O stretching modes, respectively, but only one band at 1652 cm^{-1} could be seen in the SERS spectrum. The different position of the carbonyl stretching modes in the normal Raman spectrum seemed to occur because the π -electrons of the C(2) carbonyl band were isolated from the ring π -electrons so no electron delocalization took place, in contrast with the situation for the C(4) carbonyl group. The occurrence of a single band in the SERS spectrum is either because both carbonyl stretching modes vibrate with equal frequencies, or because only one vibration mode can be seen. In our spectra of dT in Figure 6A-D, I can observe only one carbonyl band in case of all spectra, respectively. Therefore, it can be concluded that the 1647 cm^{-1} in the SERS spectrum results from one mode rather than that both modes occur at the same position. In the case that the 1647

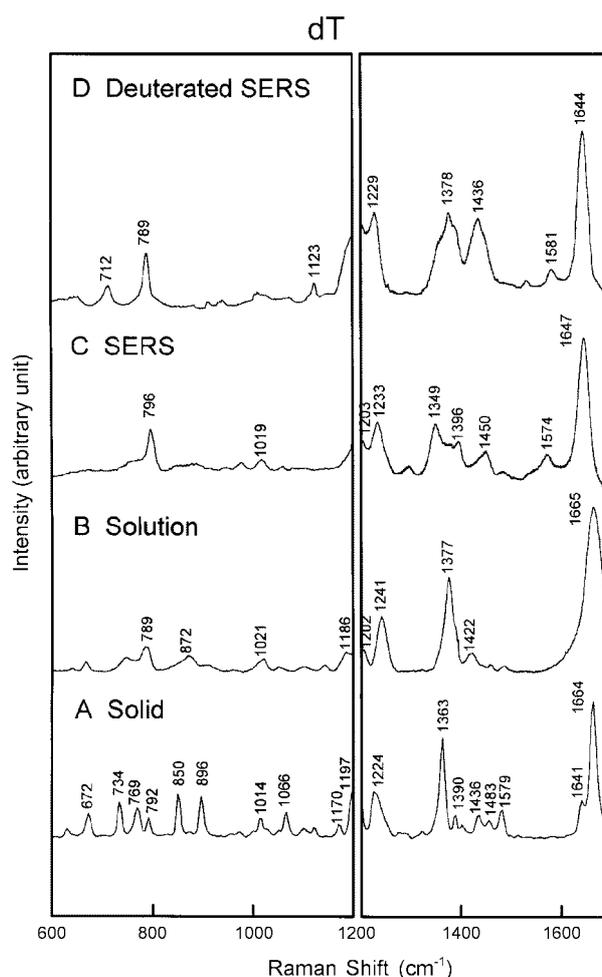


Figure 6. The Raman spectra as in Figure 3 of dT. The spectrum of (b) has been subtracted by baseline.

cm^{-1} band arises from one mode only, it would most likely correspond to the C(4)=O stretching vibration mode. This possibility suggests that the orientation of the molecular plane is perpendicular to the surface instead of being parallel to it.^{10,14} If the 1647 cm^{-1} in the SERS spectrum is due to the C(4)=O stretching vibration without the C(2)=O coordination, this carbonyl group is strongly bound to the gold metal surface. As a consequence of an increase on the ring electronic delocalization, the SERS band of the carbonyl group is shifted by 18 cm^{-1} to higher wavenumbers (Figure 6C).

At pH 7, a possible interaction site of dT with the gold surface takes place only on the carbonyl group at C(2) and C(4). As shown in Figure 6C, the strong SERS band at 1647 cm^{-1} is assigned the C(4)=O stretching vibration. The corresponding Raman shifts are, respectively, 1664 and 1665 cm^{-1} in the solid and solution state. The 18 cm^{-1} red-shift for C=O in the SERS spectrum is due to a strong interaction between the C=O group and the gold surface, resulting from a charge transfer from the carbonyl group to the gold. Direct coordination of the gold to the C=O group of the pyrimidine ring is inferred from the changes observed on the SERS spectrum of the corresponding bands at C=O stretching vibration.

In the SERS spectrum strong bands appear at 1233 and 1349 cm^{-1} , which is also some strongly present at 1241, 1377 cm^{-1} in solution Raman spectra (Figure 6B), and which can be assigned to the ring- CH_3 stretching and C(4)-N(3) stretching vibration of the pyrimidine ring. The C(4)-N(3) stretching vibration is shifted by 28 cm^{-1} lower due to interaction to the gold surface through the C(4)=O group. These can be ensured by observations that the band at 1233 cm^{-1} is almost unchanged, whereas 1349 cm^{-1} is shifted in the SERS spectrum of N-deuterated dT after the hydrogen attached to the N(3) atom in dT easily undergo deuterium exchange in D_2O (Figure 6D).

Another important band of dT in the SERS spectrum is for the ring-breathing vibration mode of the pyrimidine ring. Normal Raman spectra of all pyrimidines are found to contain an intense band near 780 cm^{-1} . The corresponding band of dT in the SERS spectrum is showing at 796 cm^{-1} and FT- and normal Raman spectra in the solid and solution state are corresponding at 792 and 789 cm^{-1} , respectively. Comparison of the above frequencies does not show a specific frequency red-shift of the ring-breathing vibration in the spectral range around 790 cm^{-1} . This indicates that although dT some interacts to the gold surface through the C(4)=O group, a directly strong coordination does not exist in this case.

The most characteristic band of the internal mode belongs to the in-plane ring-breathing modes and appears at 796 cm^{-1} in the SERS spectrum. No significant frequency shifts are observed when the measured FT- and normal Raman scattering frequencies in the solid and solution state are compared. Normal Raman spectra of all pyrimidines are found to contain an intense band near 780 cm^{-1} . It has been previously demonstrated that these bands shift to the lower range when

Table 2. Summary of comparison with normal Raman and SERS frequencies (cm^{-1}) for Ring-breathing and Exocyclic modes of the DNA Nucleoside

		Ring-breathing	C(2)=O	C(4)=O	δNH_2
dA	solid	734			1687
	solution in H_2O	733			
	on Au sol in H_2O	728			1657
	on Au sol in D_2O	724			1190
dC	solid	784	1664		
	solution in H_2O	785	1662		
	on Au sol in H_2O	802	1639		
	on Au sol in D_2O	788	1638		
dG	solid	676	1687		
	solution in H_2O	678			
	on Au sol in H_2O	662	1642		
	on Au sol in D_2O	644	1643		
dT	solid	792		1664	
	solution in H_2O	789		1665	
	on Au sol in H_2O	796		1647	
	on Au sol in D_2O	789		1645	

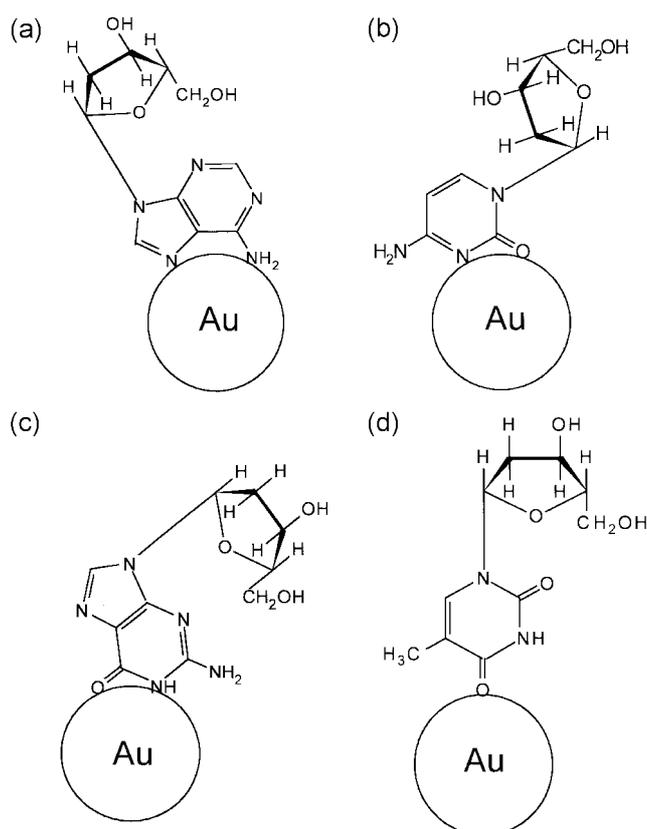


Figure 7. Proposed structures of DNA nucleosides bound to the gold nanoparticles: (a) dA, (b) dC, (c) dG, and (d) dT.

the parts of a ring participate in coordination to the metal surface. For dT, the ring-breathing vibration between on the gold and in the solid or solution state are almost unchangeable as summarized in Table 2, indicating a weak or no interaction between the ring and the gold nanoparticles surface.

In addition, other bands due to the ring vibration (δ_{NH} and $\delta_{\text{C-N}}$) do not vary significantly in Figure 6B-D. However, one band appearing at 1450 cm^{-1} , which is assigned to the C=N stretching vibration, exhibits a significant red-shift in dT, suggesting the existence of strong interaction.

A sharp band at 243 cm^{-1} indicates the existence of a metal-adsorbate interaction and is assignable to the gold-oxygen stretching vibration. This points to a direct proof that the carbonyl group of dT interacts with the gold surface. Analogously, another band is observed at 242 cm^{-1} , assignable to Au-O stretching vibration, which means some interaction between dT and the gold surface.

From the results and references,³⁵ it is postulated that the oxygen at C(4) is the main interaction between dT and the gold surface. The proposed structure of dT bound to the gold surface is shown in Figure 7(d).

Conclusions

Good-quality SERS spectra of the DNA nucleosides on gold nanoparticles can be obtained with 710 nm excitation, using $\text{Ti:Al}_2\text{O}_3$ prism pumped Ar^+ laser. They show vibrational modes of the purine and pyrimidine bases, with dramatic

vibrations in the coordination pattern. These vibrations are helpful in making vibrational assignments and determining the coordination structures. Because the C(1) carbon atom of deoxyribose is bonded to N(1) of a pyrimidine or N(9) of a purine, the coordination site of DNA nucleosides on gold surface is much different from that of bases.

According to UV-Visible absorption of gold nanoparticles after modifying with DNA nucleosides, the absorption increment rates of gold nanoparticles bound by dA, dC, and dG are much faster than that of dT. This suggests that the coordination of the dA, dC, and dG is more affinitive than that of dT. As the result of SERS spectra, a dA mainly binds to gold nanoparticles *via* N(7) nitrogen atom of the imidazole ring, which the C(6)-NH₂ group also participates in the coordination process.

In case of dC, it binds to the gold surface *via* the N(3) nitrogen atom of the pyrimidine ring with a partial contribution from the oxygen of C(2)=O group. A coordination of dG to the gold implicates both the N(1) atom and the oxygen of C(6)=O group of the pyrimidine ring. It seems that although the DNA nucleosides have the two different nitrogen of a pyrimidine ring and amino group, the N(1) nitrogen atom of a pyrimidine ring has a higher affinity after the hydrogen migrates to the amino group.

Conversely, only dT binds to the gold surfaces *via* the oxygen of C(4)=O group of the pyrimidine ring. Accordingly, the nitrogen atom of an imidazole or pyrimidine ring in DNA nucleosides can be bound strongly to a gold surface than the oxygen atom of a carbonyl group. It is obvious that these results can also play an important role to illuminate the binding structure to metal surface in the Watson-Crick type base pairing of the double helix in DNA.

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31. The major SERS bands of dI on Au nanoparticles: 230 cm^{-1} (Au-N stretching), 727 cm^{-1} (ring breathing of py), 1042 cm^{-1} (N-sugar stretching), 1131 cm^{-1} (C-C stretching), 1318 cm^{-1} (C-N stretching of im), 1366 cm^{-1} (C-N stretching of py), 1463 cm^{-1} (C=N stretching of py), 1505 cm^{-1} (C=N stretching of im), 1550 cm^{-1} (ring breathing), 1650 cm^{-1} (C=O stretching).
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